

Improving Flow Analyses via Γ CFA

Abstract Garbage Collection and Counting

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The Big Idea

The Process

1. Add garbage collection to a concrete semantics.

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1. Add garbage collection to a concrete semantics.
2. Create an abstract interpretation of these semantics.

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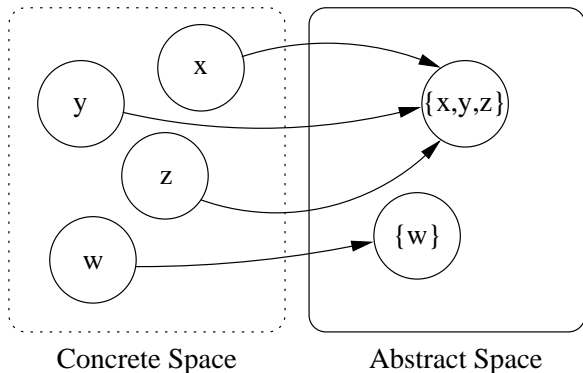
The Process

1. Add garbage collection to a concrete semantics.
2. Create an abstract interpretation of these semantics.

The Payoff

The abstract GC improves both speed and precision.

The Problem: Imprecision in Abstract Interpretation



Abstract Interpretation

Larger space mapped to smaller space: **Overlap leads to imprecision.**

An Example: Analyzing Integer Arithmetic

Goal

Given an arithmetic expression, safely approximate its sign.

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Given an arithmetic expression, safely approximate its sign.

Example

- ▶ $4 + 3$ could be positive.
- ▶ $4 - 10$ could be negative.
- ▶ $4 + (3 - 10)$ could be positive or negative. (Imprecision allowed.)

An Example: Analyzing Integer Arithmetic

Abstracting the Integers

Integers abstract to a singleton set of their sign.

Example

- ▶ $|4| = \{positive\}$
- ▶ $|0| = \{zero\}$
- ▶ $|-3| = \{negative\}$

An Example: Analyzing Integer Arithmetic

Abstracting Addition

Addition abstracts “naturally” to sets of signs.

Example

- ▶ $\{positive\} \oplus \{positive\} = \{positive\}$
- ▶ $\{positive, negative\} \oplus \{zero\} = \{positive, negative\}$
- ▶ $\{positive\} \oplus \{negative\} = \{negative, zero, positive\}$

An Example: Analyzing Integer Arithmetic

Example

Analyze: $-4 + 4$

An Example: Analyzing Integer Arithmetic

Example

Analyze:

$$-4 + 4$$

⇒

$$|-4| \oplus |4|$$

An Example: Analyzing Integer Arithmetic

Example

Analyze:

$$-4 + 4$$

⇒

$$|-4| \oplus |4|$$

⇒

$$\{\textit{negative}\} \oplus \{\textit{positive}\}$$

An Example: Analyzing Integer Arithmetic

Example

Analyze:

$$-4 + 4$$

⇒

$$|-4| \oplus |4|$$

⇒

$$\{\textit{negative}\} \oplus \{\textit{positive}\}$$

⇒

$$\{\textit{negative, zero, positive}\}$$

Imprecision!

$\{\textit{zero}\}$ is the tightest, safest answer.

OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

```
(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

```
id ↦
x ↦
(id 3) ↦
y ↦
(id 4) ↦
```

OCFA & Precision

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(let* ((id (λ (x) x))
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OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.

```
id ↦ (λ (x) x)
x ↦
(id 3) ↦
y ↦
(id 4) ↦
```

OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

```
(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.
2. Then, 3 flows to `x`.

```
id ↦ (λ (x) x)
x ↦ 3
(id 3) ↦
y ↦
(id 4) ↦
```


OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

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(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.
2. Then, 3 flows to `x`.
3. Then, 3 flows to `y`, `(id 3)`.

```
id ↦ (λ (x) x)
x ↦ 3
(id 3) ↦ 3
y ↦ 3
(id 4) ↦
```

OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

```
(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.
2. Then, 3 flows to `x`.
3. Then, 3 flows to `y`, `(id 3)`.
4. Then, 4 flows to `x`.

```
id ↦ (λ (x) x)
x ↦ 3, 4
(id 3) ↦ 3
y ↦ 3
(id 4) ↦
```

OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

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(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.
2. Then, 3 flows to `x`.
3. Then, 3 flows to `y`, `(id 3)`.
4. Then, 4 flows to `x`.
5. Then, 3 *or* 4 could flow to `(id 4)`!?

```
id ↦ (λ (x) x)
x ↦ 3, 4
(id 3) ↦ 3
y ↦ 3
(id 4) ↦ 3, 4
```

Problem

Flow analyses overlap different bindings to the same variable.

OCFA & Precision

Flow analysis question: *What “values” could flow to each expression?*

```
(let* ((id (λ (x) x))
      (y (id 3)))
      (id 4))
```

OCFA thinks...

1. $(\lambda (x) x)$ flows to `id`.
2. Then, 3 flows to `x`.
3. Then, 3 flows to `y`, `(id 3)`.
4. Then, 4 flows to `x`.
5. Then, 3 *or* 4 could flow to `(id 4)`!?

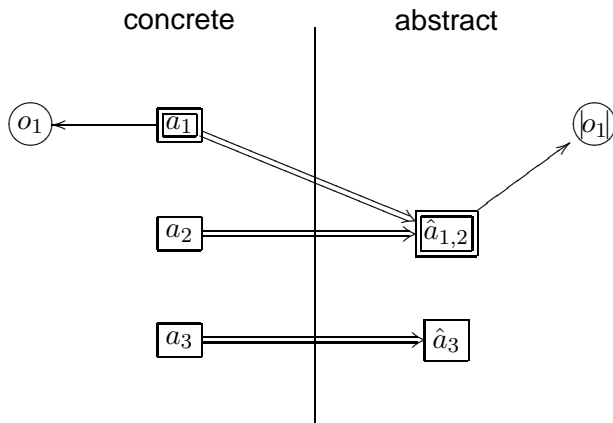
```
id ↦ (λ (x) x)
x ↦ 3, 4
(id 3) ↦ 3
y ↦ 3
(id 4) ↦ 3, 4
```

Solution

Garbage collect dead bindings mid-analysis.

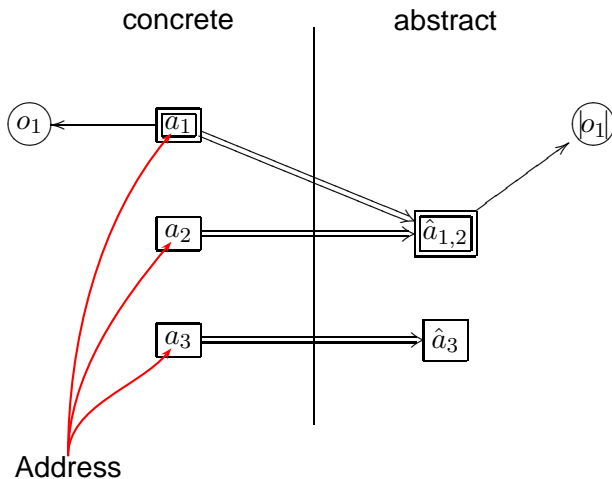
Example: Abstract Garbage Collection

3-address concrete heap. 2-address abstract counterpart.



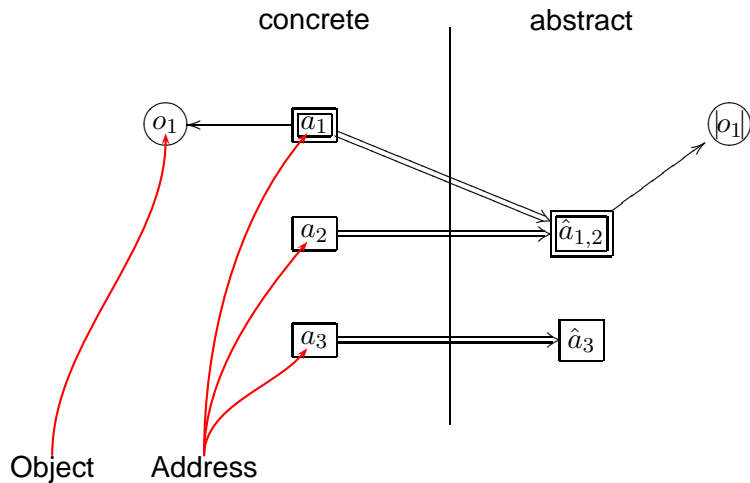
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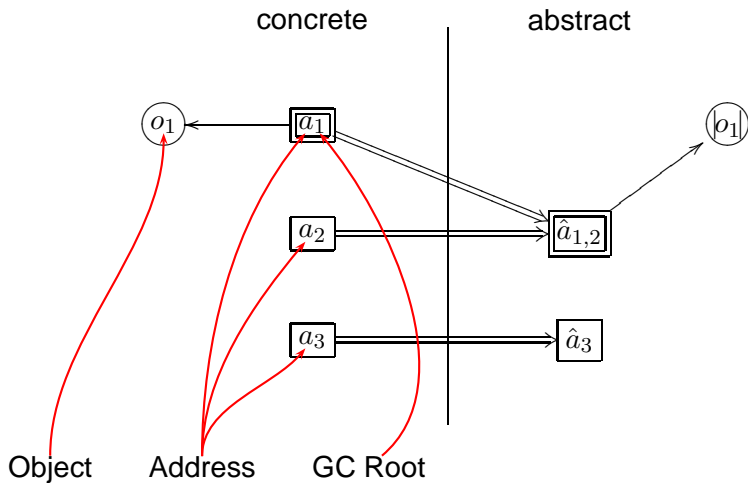
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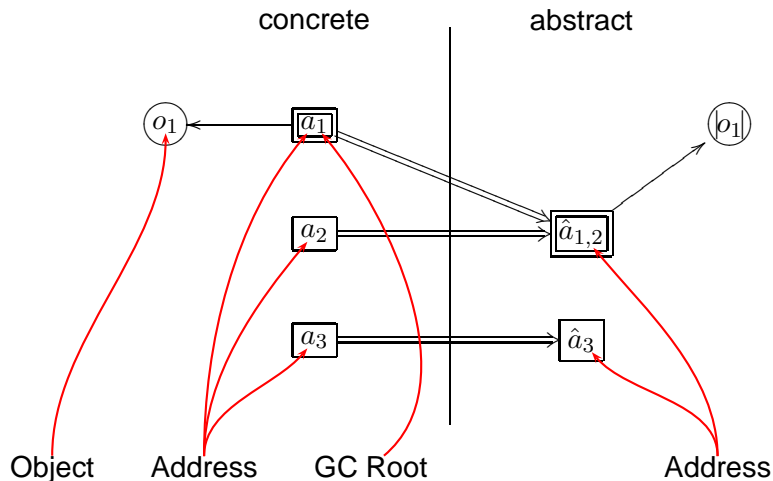
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3-address concrete heap. 2-address abstract counterpart.



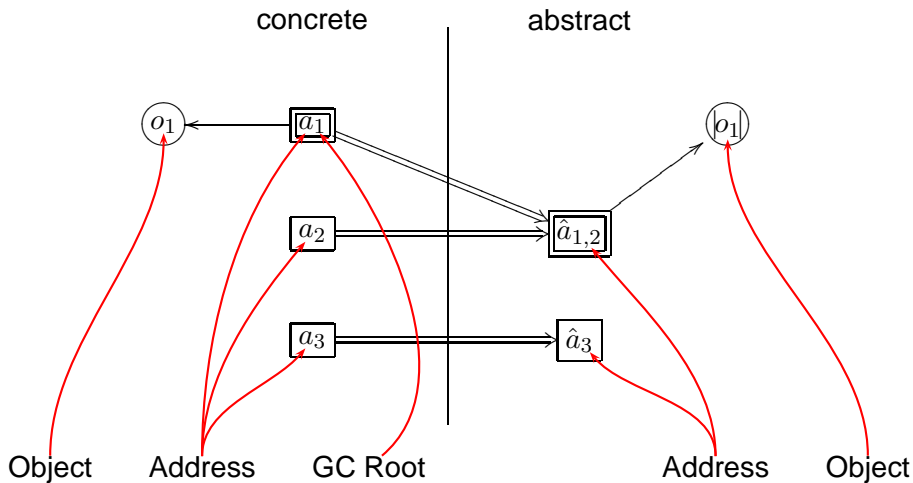
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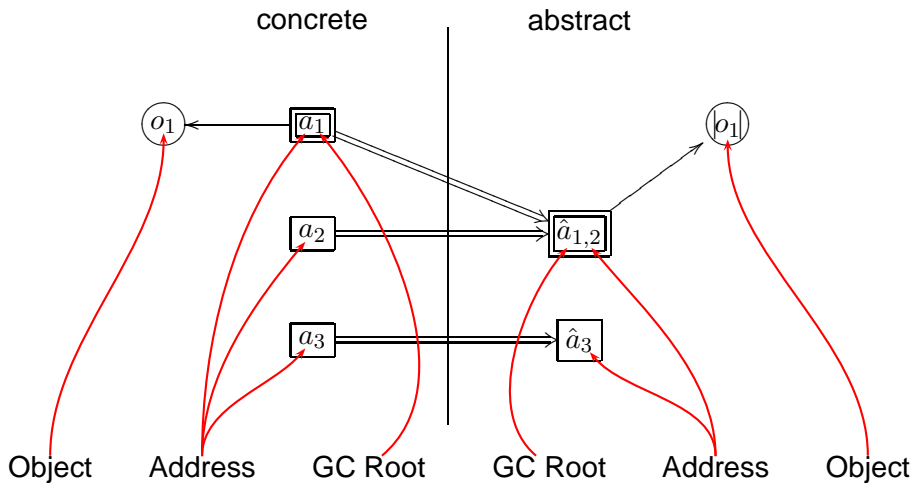
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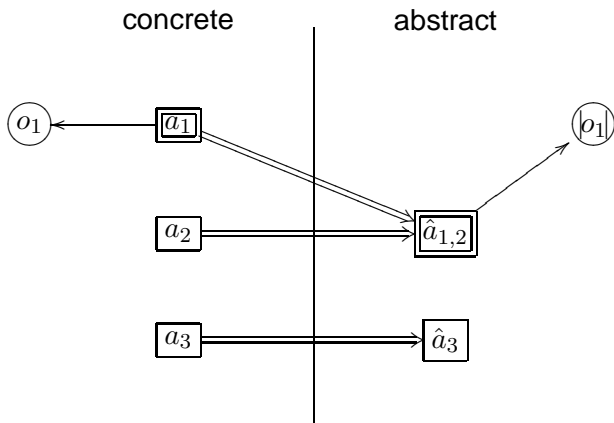
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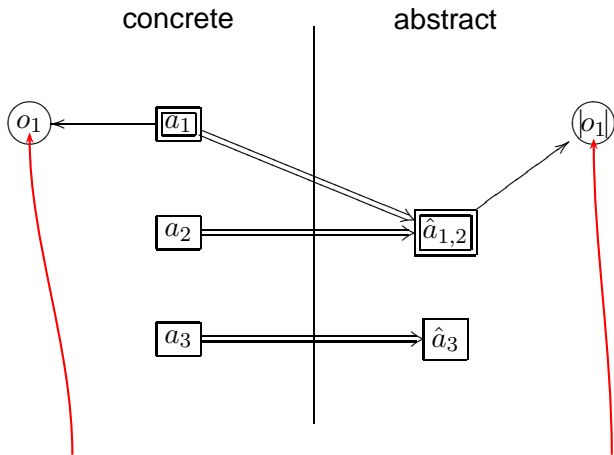
Example: Abstract Garbage Collection

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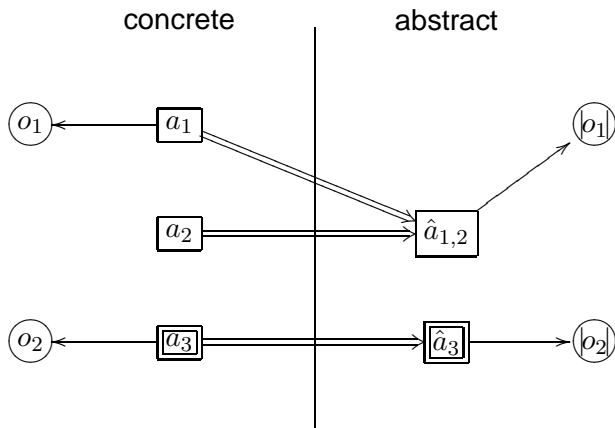
Example: Abstract Garbage Collection

Next: Allocate object o_2 to address a_3 . Shift root to a_3 .



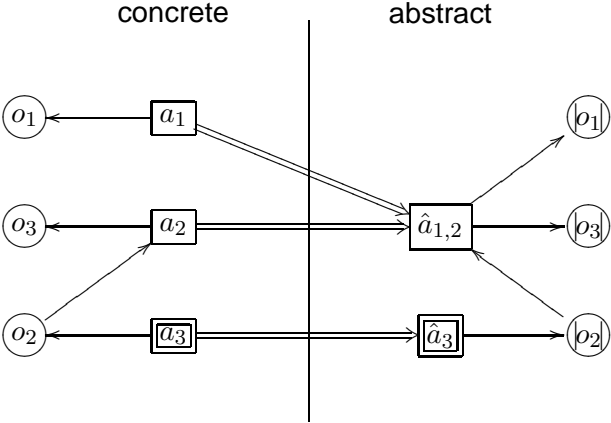
Example: Abstract Garbage Collection

Next: Allocate object o_3 to address a_2 . Point o_2 to a_2 .



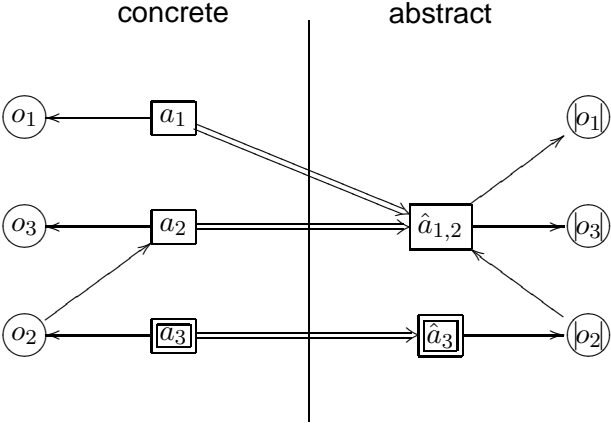
Example: Abstract Garbage Collection

Uh-oh! Zombie born. Concrete-abstract symmetry broken.



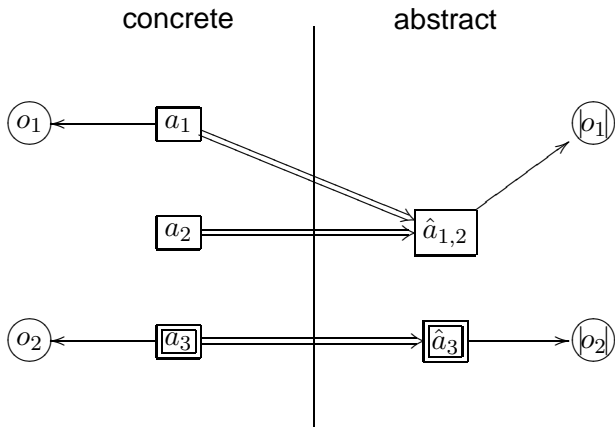
Example: Abstract Garbage Collection

Solution: Rewind and garbage collect first.



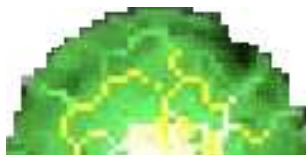
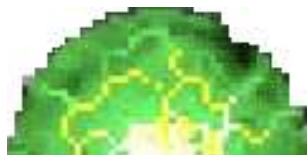
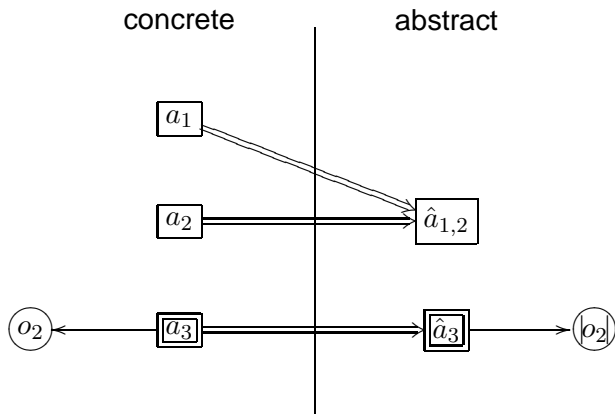
Example: Abstract Garbage Collection

As it was:



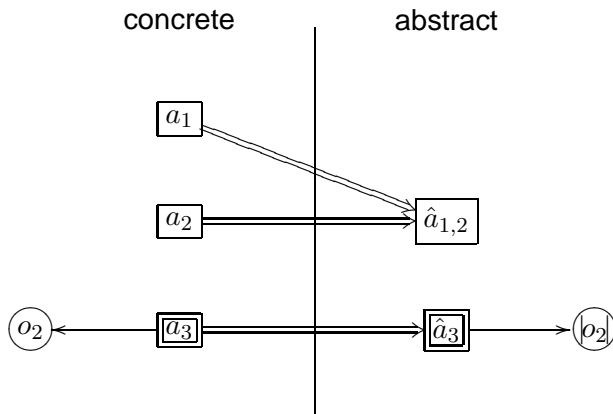
Example: Abstract Garbage Collection

After garbage collection:



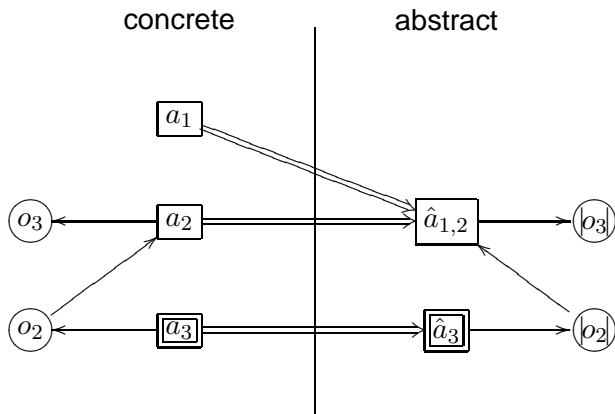
Example: Abstract Garbage Collection

Try again: Allocate object o_3 to address a_2 . Point o_2 to a_2 .



Example: Abstract Garbage Collection

No overapproximation!



Implementation: Γ CFA

Tool: Continuation-Passing Style (CPS)

Contract

- ▶ Calls don't return.
- ▶ Continuations are passed—to receive return values.

$$\begin{array}{c} \text{CPS } \lambda\text{-calculus} \\ \overbrace{\qquad\qquad\qquad} \\ e, f \in EXP ::= v \\ \qquad\qquad\qquad | (\lambda (v_1 \cdots v_n) call) \\ call \in CALL ::= (f e_1 \cdots e_n) \end{array}$$

CPS Narrows Concern

λ is universal representation of control & env.

Construct	encoding
fun call	call to λ
fun return	call to λ
iteration	call to λ
sequencing	call to λ
conditional	call to λ
exception	call to λ
coroutine	call to λ
\vdots	\vdots

Advantage

Now λ is fine-grained construct.

Eval-to-Apply Transition

$$\frac{proc = \mathcal{A}(f, \beta, ve) \quad d_i = \mathcal{A}(e_i, \beta, ve)}{(\llbracket (f \ e_1 \cdots e_n) \rrbracket, \beta, ve, t) \Rightarrow (proc, \mathbf{d}, ve, t + 1)}$$

Apply-to-Eval Transition

$$\frac{proc = (\llbracket (\lambda (v_1 \cdots v_n) \ call) \rrbracket, \beta')}{(proc, \mathbf{d}, ve, t) \Rightarrow (call, \beta'[v_i \mapsto t], ve[(v_i, t) \mapsto d_i], t)}$$

Domains

- $\varsigma \in Eval = CALL \times BEnv \times VEnv \times Time$
- $+ Apply = Proc \times D^* \times VEnv \times Time$
- $\beta \in BEnv = VAR \rightarrow Time$
- $ve \in VEnv = VAR \times Time \rightarrow D$
- $proc \in Proc = Clo + \{halt\}$
- $clo \in Clo = LAM \times BEnv$
- $d \in D = Proc$
- $t \in Time = \text{infinite set of times (contours)}$

Lookup function

$$\begin{aligned} \mathcal{A}(lam, \beta, ve) &= (lam, \beta) \\ \mathcal{A}(v, \beta, ve) &= ve(v, \beta(v)) \end{aligned}$$

Eval-to-Apply Transition

$$\frac{\widehat{proc} \in \widehat{A}(f, \widehat{\beta}, \widehat{ve}) \quad \widehat{d}_i = \widehat{A}(e_i, \widehat{\beta}, \widehat{ve})}{([\![f e_1 \cdots e_n]\!] , \widehat{\beta}, \widehat{ve}, \widehat{t}) \approx (\widehat{proc}, \widehat{d}, \widehat{ve}, \widehat{succ}(\widehat{t}))}$$

Apply-to-Eval Transition

$$\frac{\widehat{proc} = ([\!(\lambda (v_1 \cdots v_n) call)\!] , \widehat{\beta}')}{(\widehat{proc}, \widehat{d}, \widehat{ve}, \widehat{t}) \approx (call, \widehat{\beta}'[v_i \mapsto \widehat{t}], \widehat{ve} \sqcup [(v_i, \widehat{t}) \mapsto \widehat{d}_i], \widehat{t})}$$

Domains

$$\begin{aligned} \widehat{\varsigma} &\in \widehat{Eval} = \widehat{CALL} \times \widehat{BEnv} \times \widehat{VEnv} \times \widehat{Time} \\ + \widehat{Apply} &= \widehat{Proc} \times \widehat{D}^* \times \widehat{VEnv} \times \widehat{Time} \\ \widehat{\beta} &\in \widehat{BEnv} = \widehat{VAR} \rightarrow \widehat{Time} \\ \widehat{ve} &\in \widehat{VEnv} = \widehat{VAR} \times \widehat{Time} \rightarrow \widehat{D} \\ \widehat{proc} &\in \widehat{Proc} = \widehat{Clo} + \{\text{halt}\} \\ \widehat{clo} &\in \widehat{Clo} = \widehat{LAM} \times \widehat{BEnv} \\ \widehat{d} &\in \widehat{D} = \mathcal{P}(\widehat{Proc}) \\ \widehat{t} &\in \widehat{Time} = \text{finite set of times (contours)} \end{aligned}$$

Lookup function

$$\begin{aligned} &\widehat{A}(lam, \widehat{\beta}, \widehat{ve}) \\ &= \{(lam, \widehat{\beta})\} \\ &\widehat{A}(v, \widehat{\beta}, \widehat{ve}) \\ &= \widehat{ve}(v, \widehat{\beta}(v)) \end{aligned}$$

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Concrete v. Abstract Interpretations

Interpretation

Concrete: \mathcal{S}_1

Abstract: $\widehat{\mathcal{S}}_1$

Concrete v. Abstract Interpretations

Interpretation

Concrete: $s_1 \longrightarrow s_2$

Abstract: $\hat{s}_1 \longrightarrow \hat{s}_2$

Concrete v. Abstract Interpretations

Interpretation

Concrete: $s_1 \longrightarrow s_2 \longrightarrow s_3$

Abstract: $\hat{s}_1 \longrightarrow \hat{s}_2 \longrightarrow \hat{s}_3$

Concrete v. Abstract Interpretations

Interpretation

Concrete: $s_1 \longrightarrow s_2 \longrightarrow s_3 \longrightarrow s_4$

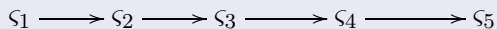
Abstract: $\hat{s}_1 \longrightarrow \hat{s}_2 \longrightarrow \hat{s}_3 \longrightarrow \hat{s}_4$

The abstract interpretation diagram shows a sequence of nodes $\hat{s}_1 \longrightarrow \hat{s}_2 \longrightarrow \hat{s}_3 \longrightarrow \hat{s}_4$. From node \hat{s}_3 , two arrows branch out to nodes $\hat{s}_{3.1,1}$ and $\hat{s}_{3.2,1}$.

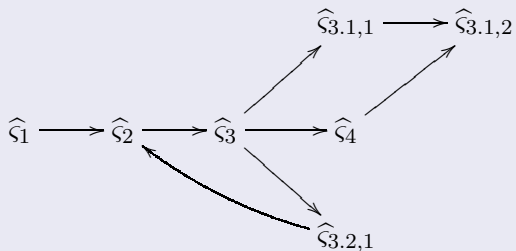
Concrete v. Abstract Interpretations

Interpretation

Concrete:



Abstract:



Technique: Abstract Counting

The Idea

1. Count times an abstract resource has been allocated.
2. Count of one means only one concrete counterpart.

Γ CFA Environment Condition

Basic Principle

If $\{x\} = \{y\}$, then $x = y$.

Theorem (Environment condition)

If $\hat{\beta}_1(v) = \hat{\beta}_2(v)$,
and $\hat{\mu}(v, \hat{\beta}_1(v)) = \hat{\mu}(v, \hat{\beta}_2(v)) = 1$,
then $\beta_1(v) = \beta_2(v)$.

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then $\beta_1(v) = \beta_2(v)$.

Increase in Power

Enables the Super- β class of optimizations.

Γ CFA Counting Machinery

Abstract binding counter, $\hat{\mu} : \text{"Bindings"} \rightarrow \{0, 1, \infty\}$.

Eval

$$(\llbracket (f e_1 \cdots e_n) \rrbracket, \hat{\beta}, \hat{v}e, \hat{t}) \approx (\widehat{proc}, \widehat{\mathbf{d}}, \hat{v}e, \widehat{succ}(\hat{t}))$$

$$\text{where } \begin{cases} \widehat{proc} \in \widehat{\mathcal{A}}(f, \hat{\beta}, \hat{v}e) \\ \widehat{d}_i = \widehat{\mathcal{A}}(e_i, \hat{\beta}, \hat{v}e) \end{cases}$$

Apply

$$(\llbracket (\lambda (v_1 \cdots v_n) call) \rrbracket, \hat{\beta}_b, \widehat{\mathbf{d}}, \hat{v}e, \hat{t}) \approx (call, \hat{\beta}', \hat{v}e', \hat{t})$$

$$\text{where } \begin{cases} \hat{\beta}' = \hat{\beta}_b[v_i \mapsto \hat{t}] \\ \hat{v}e' = \hat{v}e \sqcup [(v_i, \hat{t}) \mapsto \widehat{d}_i] \end{cases}$$

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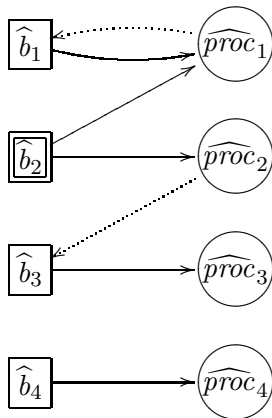
$$\text{where } \begin{cases} \hat{\beta}' = \hat{\beta}_b[v_i \mapsto \hat{t}] \\ \hat{v}e' = \hat{v}e \sqcup [(v_i, \hat{t}) \mapsto \widehat{d}_i] \\ \hat{\mu}' = \hat{\mu} \oplus [(v_i, \hat{t}) \mapsto 1] \end{cases}$$

Technique: Abstract Garbage Collection

The Idea

1. Trace out bindings reachable from current state.
2. Restrict domain of environment to these bindings.

Looking at the Variable Environment

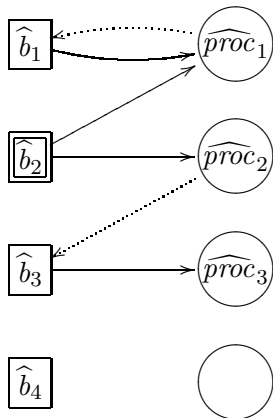


Edge Types

$\widehat{b} \rightarrow \widehat{proc}$ iff $\widehat{proc} \in \widehat{ve}(\widehat{b})$

$\widehat{proc} \cdots > \widehat{b}$ iff $\widehat{b} \in \widehat{\mathcal{T}}(\widehat{proc})$

Looking at the Variable Environment



Edge Types

$\widehat{b} \rightarrow \widehat{proc}$ iff $\widehat{proc} \in \widehat{ve}(\widehat{b})$

$\widehat{proc} \cdots > \widehat{b}$ iff $\widehat{b} \in \widehat{\mathcal{T}}(\widehat{proc})$

Bindings touched by an object, \widehat{T} :

$$\widehat{T}(lam, \widehat{\beta}) = \left\{ (v, \widehat{\beta}(v)) : v \in free(lam) \right\}$$

$$\widehat{T}(halt) = \{ \}$$

$$\widehat{T}\{\widehat{proc}_1, \dots, \widehat{proc}_n\} = \widehat{T}(\widehat{proc}_1) \cup \dots \cup \widehat{T}(\widehat{proc}_n)$$

or, by a state:

$$\widehat{T}(call, \widehat{\beta}, \widehat{ve}, \widehat{\mu}, \widehat{t}) = \left\{ (v, \widehat{\beta}(v)) : v \in free(call) \right\}$$

$$\widehat{T}(\widehat{proc}, \widehat{d}, \widehat{ve}, \widehat{\mu}, \widehat{t}) = \widehat{T}(\widehat{proc}) \cup \widehat{T}(\widehat{d}_1) \cup \dots \cup \widehat{T}(\widehat{d}_n)$$

Relation $\hat{\rightsquigarrow}_{\widehat{ve}}$ is set of “touching” edges between abstract bindings:

$$\widehat{b} \hat{\rightsquigarrow}_{\widehat{ve}} \widehat{b}' \iff \widehat{b}' \in \widehat{\mathcal{T}}(\widehat{ve}(\widehat{b}))$$

Bindings reachable by state, $\widehat{\mathcal{R}} : \widehat{State} \rightarrow \mathcal{P}(\widehat{Bind})$:

$$\widehat{\mathcal{R}}(\widehat{s}) = \left\{ \widehat{b}' : \widehat{b} \in \widehat{\mathcal{T}}(\widehat{s}) \text{ and } \widehat{b} \rightsquigarrow_{\widehat{ve}_{\widehat{s}}}^* \widehat{b}' \right\}$$

Γ CFA GC Machinery

GC routine, $\widehat{\Gamma} : \widehat{State} \rightarrow \widehat{State}$:

$$\widehat{\Gamma}(\widehat{\varsigma}) = \begin{cases} (\widehat{proc}, \widehat{\mathbf{d}}, \widehat{ve} | \widehat{\mathcal{R}}(\widehat{\varsigma}), \widehat{\mu} | \widehat{\mathcal{R}}(\widehat{\varsigma}), \widehat{t}) & \widehat{\varsigma} = (\widehat{proc}, \widehat{\mathbf{d}}, \widehat{ve}, \widehat{\mu}, \widehat{t}) \\ (\widehat{call}, \widehat{\beta}, \widehat{ve} | \widehat{\mathcal{R}}(\widehat{\varsigma}), \widehat{\mu} | \widehat{\mathcal{R}}(\widehat{\varsigma}), \widehat{t}) & \widehat{\varsigma} = (\widehat{call}, \widehat{\beta}, \widehat{ve}, \widehat{\mu}, \widehat{t}). \end{cases}$$

Improving Speed *and* Precision

CFA: $\hat{\zeta}_1$

Γ CFA: $\hat{\zeta}_1$

Improving Speed *and* Precision

CFA: $\hat{\varsigma}_1 \longrightarrow \hat{\varsigma}_2$

Γ CFA: $\hat{\varsigma}_1 \longrightarrow \hat{\varsigma}_2$

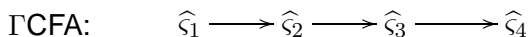
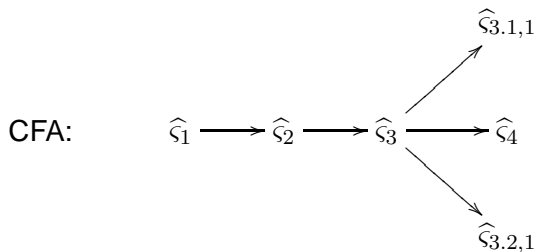
Improving Speed *and* Precision

CFA: $\hat{s}_1 \longrightarrow \hat{s}_2 \longrightarrow \hat{s}_3$

Γ CFA: $\hat{s}_1 \longrightarrow \hat{s}_2 \longrightarrow \hat{s}_3$

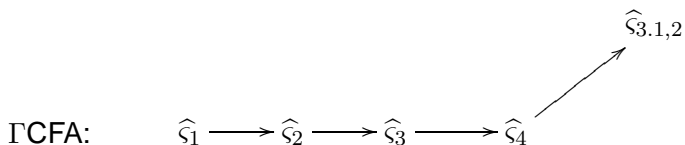
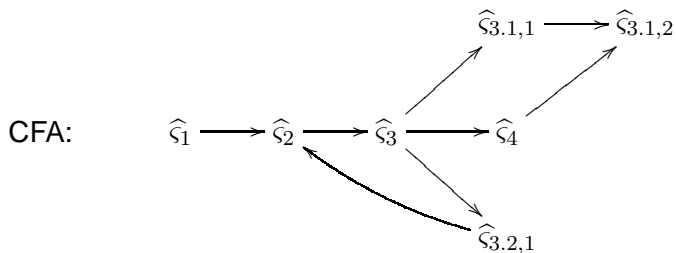
$(f \ e_1 \ \cdots \ e_n)$ In CFA: $f \mapsto clo_1, clo_2, clo_3$
In Γ CFA: $f \mapsto clo_1$

Improving Speed *and* Precision



$(f e_1 \cdots e_n)$ In CFA: $f \mapsto clo_1, clo_2, clo_3$
In Γ CFA: $f \mapsto clo_1$

Improving Speed *and* Precision



Γ CFA & Precision

```
(let* ((id ( $\lambda$  (x) x))  
      (y (id 3)))  
  (id 4))
```

Γ CFA thinks...

```
id  $\mapsto$   
  x  $\mapsto$   
(id 3)  $\mapsto$   
  y  $\mapsto$   
(id 4)  $\mapsto$ 
```

Γ CFA & Precision

```
(let* ((id ( $\lambda$  (x) x))  
      (y (id 3)))  
  (id 4))
```

Γ CFA thinks...

1. $(\lambda (x) x)$ flows to id.

```
id  $\mapsto$  ( $\lambda$  (x) x)  
x  $\mapsto$   
(id 3)  $\mapsto$   
y  $\mapsto$   
(id 4)  $\mapsto$ 
```

Γ CFA & Precision

```
(let* ((id ( $\lambda$  (x) x))  
      (y (id 3)))  
  (id 4))
```

Γ CFA thinks...

1. $(\lambda (x) x)$ flows to id.
2. Then, 3 flows to x.

```
id  $\mapsto$  ( $\lambda$  (x) x)  
x  $\mapsto$  3  
(id 3)  $\mapsto$   
y  $\mapsto$   
(id 4)  $\mapsto$ 
```

Γ CFA & Precision

```
(let* ((id ( $\lambda$  (x) x))
      (y (id 3)))
  (id 4))
```

Γ CFA thinks...

1. $(\lambda (x) x)$ flows to id.
2. Then, 3 flows to x.
3. Then, 3 flows to y, (id 3);
x \mapsto 3 now dead.

```
id  $\mapsto$  ( $\lambda$  (x) x)
x  $\mapsto$  3
(id 3)  $\mapsto$  3
y  $\mapsto$  3
(id 4)  $\mapsto$ 
```

Γ CFA & Precision

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(let* ((id ( $\lambda$  (x) x))
      (y (id 3)))
  (id 4))
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id  $\mapsto$  ( $\lambda$  (x) x)
x  $\mapsto$  3 4
(id 3)  $\mapsto$  3
y  $\mapsto$  3
(id 4)  $\mapsto$ 
```


Γ CFA & Precision

```
(let* ((id ( $\lambda$  (x) x))
      (y (id 3)))
  (id 4))
```

Γ CFA thinks...

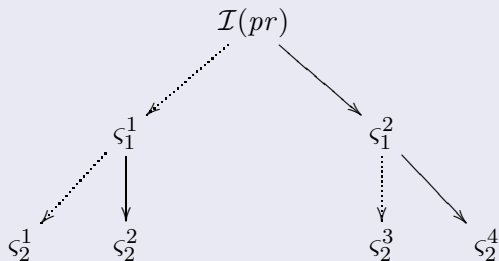
1. $(\lambda (x) x)$ flows to id.
2. Then, 3 flows to x.
3. Then, 3 flows to y, (id 3);
x \mapsto 3 now dead.
4. Then, 4 flows to x.
5. Then, 4 flows to (id 4).

```
id  $\mapsto$  ( $\lambda$  (x) x)
x  $\mapsto$  3 4
(id 3)  $\mapsto$  3
y  $\mapsto$  3
(id 4)  $\mapsto$  4
```

Important Details: Concrete Correctness

Theorem (Correctness of GC semantics)

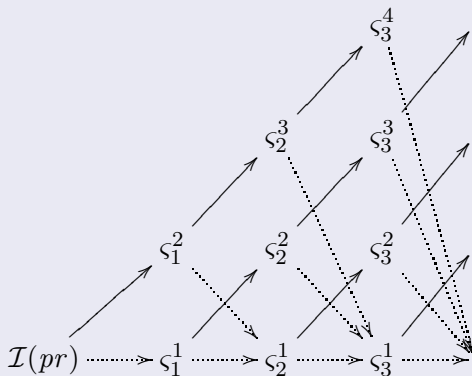
All states at any depth in execution tree are equivalent modulo GC.



Important Details: Concrete Correctness

Theorem (Correctness of GC semantics)

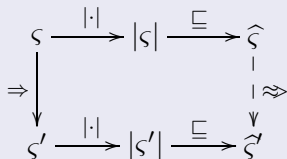
All states at any depth in execution tree are equivalent modulo GC.



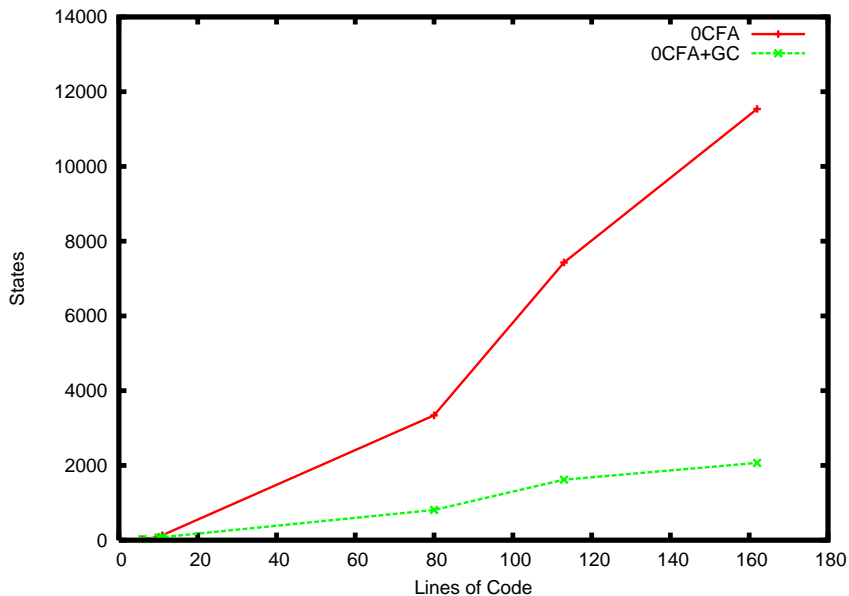
Important Details: Abstract Correctness

Theorem (Correctness of Γ CFA)

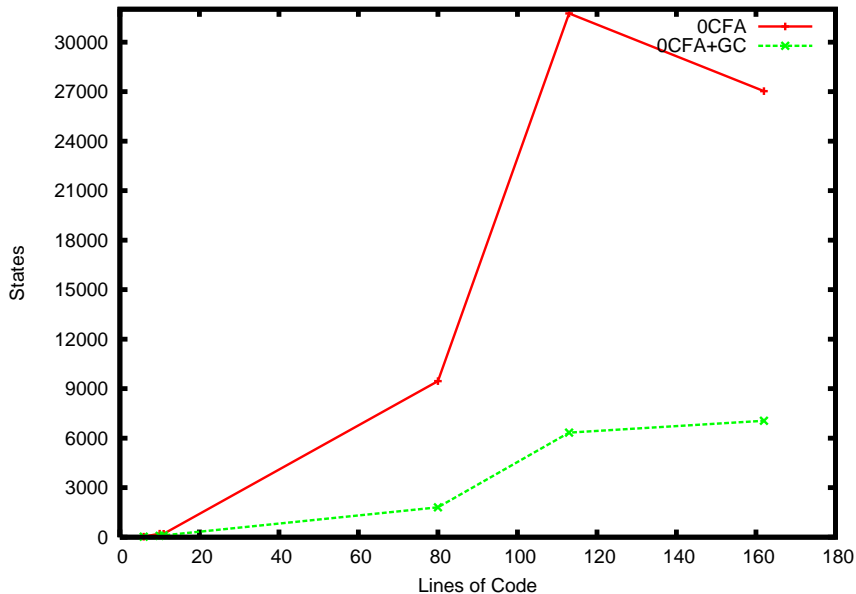
Γ CFA *simulates the concrete semantics.*



Early Results: OCFA



Early Results: 1CFA



Related Work

Family

- ▶ Cousot & Cousot: Abstract interpretation.
- ▶ Steele: CPS as intermediate representation.
- ▶ Hudak: Abstract reference counting.

Friends

- ▶ Jagannathan, *et al.*: “Singleness” analysis.
- ▶ Wand & Steckler: Invariance sets.

Ongoing and Future Work

- ▶ Implementation in MLton.
 - ▶ CPS phase added. (Ben Chambers & Daniel Harvey)
 - ▶ k -CFA effort underway. (Ben Chambers)
 - ▶ ICFA to follow.
 - ▶ 100,000+ line benchmarks.
- ▶ Fully exploit counting: weave in theorem proving, LFA/ICFA.
- ▶ Adaptations for direct-style, ANF, SSA.
 - ▶ Possible, but so far, *uglier*.
- ▶ Investigate relationship with constraint-based flow analyses.

Thank you.

Question

How often do you garbage collect?

Answer

Whenever precision loss is imminent.

In practice, roughly one in four transitions.

Question

What is the worst-case complexity?

Answer

In theory, the same as the underlying abstract interpretation.

Polyvariance Conjecture

Polyvariance for...

- ▶ Non-recursive functions.
- ▶ Non-escaping variables.
- ▶ Tail-recursive functions.
- ▶ Continuation variables.