

# Meta-circular evaluation/compilation and first-class macros

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# Topics

- Architecture(s) of meta-circular evaluator
- Converting evaluators into compilers
- How to implement first-class macros

# Warning

Trailing parentheses in examples may not be accurate.

# The eval/apply model

- `eval` : `exp env`  $\Rightarrow$  `value`
- `apply` : `value list[value]`  $\Rightarrow$  `value`

# Expressions

```
<exp> ::= <number>
| <symbol>
| <boolean>
| <string>
| <character>
| <symbol>
| <primitive>
| (quote <s-exp>)
| (if <exp> <exp> <exp>)
| (lambda (<var> ...) <exp>)
| (letrec ((<var> <exp>) ...) <exp>)
| (<exp>1 ... <exp>n)
```

# Options for env

- Direct encoding
- Structured encoding

# Direct encoding

`env = symbol => value`

# Direct encoding

`env = symbol boolean value => value`

# Structured encoding

```
env = alist[symbol,value]
```

# Structured encoding

```
env = balanced-map[symbol, value]
```

# Options for value

- Direct encoding
- Wrapped encoding
- Mixture of both

# Direct encoding

value = s-exp

# S-Expressions

$\langle s\text{-exp} \rangle ::=$

- $(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n)$
- $(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n . \langle s\text{-exp} \rangle)$
- $\#(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n)$
- $\#t \mid \#f$
- $\langle \text{number} \rangle$
- $\langle \text{string} \rangle$
- $\langle \text{character} \rangle$
- $\langle \text{symbol} \rangle$

# S-Expressions

$\langle s\text{-exp} \rangle ::=$

- $(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n)$
- $(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n . \langle s\text{-exp} \rangle)$
- $\#(\langle s\text{-exp} \rangle_1 \dots \langle s\text{-exp} \rangle_n)$
- $\#t \mid \#f$
- $\langle \text{number} \rangle$
- $\langle \text{string} \rangle$
- $\langle \text{character} \rangle$
- $\langle \text{symbol} \rangle$
- $\langle \text{procedure} \rangle$
- $\langle \text{struct} \rangle$

# Wrapped encoding

```
<value> ::= (nil)
          | (number <number>)
          | (boolean <boolean>)
          | (string <string>)
          | (symbol <symbol>)
          | (character <char>)
          | (pair <value> <value>)
          | (vector <value>1 ... <value>n)
          | (primitive <symbol>)
          | (closure <exp> <env>)
          | (struct <symbol>
              (<symbol> <value>) ...)
```

# Mixed encoding

```
<value> ::= ' (  
| <number>  
| <boolean>  
| <string>  
| <symbol>  
| <char>  
| (pair      <value> <value>)  
| (vector   <value>1 ... <value>n)  
| (primitive <symbol>)  
| (closure  <exp> <env>)  
| (struct   <symbol>  
           (<symbol> <value>) ...)
```

# Macros & wrapped values

`extract : wrapped-value => s-exp`

# eval

```
(define (eval exp env)
  (cond
    ((exp-type? exp) (eval-exp-type exp env))
    ...))
```

# eval

```
(define (eval exp env)
  (cond
    ((number? exp) (eval-self exp))
    ((string? exp) (eval-self exp))
    ...
    ((lambda? exp) (eval-lambda exp env))
    ((app? exp) (eval-app exp env))))
```

# eval-self (direct)

```
(define (eval-self exp) exp)
```

# eval-self (wrapped)

```
(define (eval-self exp)
  (cond
    ((number? exp) (list 'number exp))
    ((string? exp) (list 'string exp))
    ...))
```

# eval-lambda (wrapped)

```
(define (eval-lambda exp env)
  (list 'closure exp env))
```

# eval-lambda (direct)

```
(define (eval-lambda exp env)
  (let ((formals (cadr exp))
        (body (caddr exp)))
    (if (symbol? formals)
        (lambda arg-values
          (eval body (env-extend formals arg-values)))
        (lambda arg-values
          (eval body (env-extend* formals arg-values))))))
```

# eval-app (wrapped)

```
(define (eval-app exp env)
  (let ((f (eval (app->f exp) env))
        (vals (eval* (app->args exp) env)))
    (cond
      ((primitive? f) (apply-prim f vals))
      (else (apply-proc f vals))))))
```

# apply-proc

```
(define (apply-proc f vals)
  (let* ((lam      (cadr  f))
        (env      (caddr f))
        (formals  (cadr  lam))
        (body     (caddr lam)))
    (if (symbol? formals)
        (eval body (env-extend formals vals))
        (eval body (env-extend* formals vals))))))
```

# eval-app (direct)

```
(define (eval-app exp env)
  (let ((f (eval (app->f exp) env))
        (vals (eval* (app->args exp) env)))
    (apply f vals)))
```

**From evaluator  
to compiler**

# Evaluator v. compiler

`compile` : `exp`  $\Rightarrow$  `(env  $\Rightarrow$  value)`  
`eval` : `exp env`  $\Rightarrow$  `value`

# Equivalence

```
(eval exp env) = ((compile exp) env)
(compile exp)  = (lambda (env) (eval exp env))
```

# compile

```
(define (compile exp)
  (lambda (env)
    (eval exp env)))
```

# compile

```
(define (compile exp)
  (lambda (env)
    (cond
      ((number? exp) (eval-self exp))
      ((string? exp) (eval-self exp))
      ...
      ((lambda? exp) (eval-lambda exp env))
      ((app? exp) (eval-app exp env))))))
```

# compile

```
(define (compile exp)
  (cond
    ((number? exp)
     (lambda (env) (eval-self exp)))
    ((string? exp)
     (lambda (env) (eval-self exp)))
    ...
    ((lambda? exp)
     (lambda (env) (eval-lambda exp env)))
    ((app? exp)
     (lambda (env) (eval-app exp env))))))
```

# compile

```
(define (compile exp)
  (cond
    ((number? exp) (compile-self exp))
    ((string? exp) (compile-self exp))
    ...
    ((lambda? exp) (compile-lambda exp))
    ((app? exp) (compile-app exp))))
```

# compile-self (direct)

`((compile-self exp) env) = (eval-self exp)`

# compile-self (direct)

```
(define (compile-self exp)
  (lambda (env)
    (eval-self exp)))
```

# compile-self (direct)

```
(define (compile-self exp)
  (lambda (env) exp))
```

# compile-lambda (direct)

`((compile-lambda exp) env) = (eval-lambda exp env)`

# compile-lambda (direct)

```
(define (compile-lambda exp)
  (lambda (env)
    (eval-lambda exp env)))
```

# compile-lambda (direct)

```
(define (compile-lambda exp)
  (lambda (env)
    (let ((formals (cadr exp))
          (body (caddr exp)))
      (if (symbol? formals)
          (lambda arg-values
            (eval body (env-extend formals arg-values)))
          (lambda arg-values
            (eval body (env-extend* formals arg-values)))))))
```

# compile-lambda (direct)

```
(define (compile-lambda exp)
  (lambda (env)
    (let* ((formals (cadr exp))
           (body    (caddr exp))
           (exe     (compile body)))
      (if (symbol? formals)
          (lambda arg-values
            (exe (env-extend formals arg-values)))
          (lambda arg-values
            (exe (env-extend* formals arg-values)))))))
```

# compile-lambda (direct)

```
(define (compile-lambda exp)
  (let* ((formals (cadr exp))
        (body    (caddr exp))
        (exe      (compile body)))
    (if (symbol? formals)
        (lambda (env)
          (lambda arg-values
            (exe (env-extend formals arg-values))))
        (lambda (env)
          (lambda arg-values
            (exe (env-extend* formals arg-values)))))))
```

# compile-app (direct)

`((compile-app exp) env) = (eval-app exp env)`

# compile-app (direct)

```
(define (compile-app exp)
  (lambda (env)
    (eval-app exp env)))
```

# compile-app (direct)

```
(define (compile-app exp)
  (lambda (env)
    (let ((f (eval (app->f exp) env))
          (vals (eval* (app->args exp) env)))
      (apply f vals))))
```

# compile-app (direct)

```
(define (compile-app exp)
  (lambda (env)
    (let ((exe (compile (app->f exp)))
          (exes (map compile (app->args exp))))
      (let ((f (exe env))
            (vals (map exes env)))
        (apply f vals))))))
```

# compile-app (direct)

```
(define (compile-app exp)
  (let ((exe (compile (app->f exp)))
        (exes (map compile (app->args exp))))
    (lambda (env)
      (let ((f (exe env))
            (vals (map exes env)))
        (apply f vals))))))
```

**Can we do better?**

**Yes.**

# First-class macros

# Expressions

$\langle \text{exp} \rangle ::= \langle \text{number} \rangle$   
     $\dots$   
|  $\langle \text{boolean} \rangle$   
|  $(\langle \text{exp} \rangle \langle \text{exp} \rangle \dots)$   
|  $(\langle \text{keyword} \rangle \langle \text{s-exp} \rangle \dots)$

# Wrapped encoding

```
<value> ::= (nil)
| (number <number>)
| (boolean <boolean>)
| (string <string>)
| (symbol <symbol>)
| (character <char>)
| (pair <value> <value>)
| (vector <value>1 ... <value>n)
| (primitive <symbol>)
| (closure <exp> <env>)
| (struct <symbol>
    (<symbol> <value>) ...)
| (macro <procedure>)
| (syntax-primitive <procedure>)
```

# eval

```
(define (eval exp env)
  (cond
    ((number? exp) (eval-self exp))
    ((string? exp) (eval-self exp))
    ...
    ((lambda? exp) (eval-lambda exp env))
    ((app? exp) (eval-app exp env))))
```

# eval

```
(define (eval exp env)
  (cond
    ((number? exp) (eval-self exp))
    ((string? exp) (eval-self exp))
    ...
    ((app? exp) (eval-app exp env))))
```

# eval-app (wrapped)

```
(define (eval-app exp env)
  (let ((f (eval (app->f exp) env))
        (vals (eval* (app->args exp) env)))
    (cond
      ((primitive? f) (apply-prim f vals))
      (else (apply-proc f vals))))))
```

# eval-app (wrapped)

```
(define (eval-app exp env)
  (let ((f (eval (app->f exp) env)))
    (cond
      ((macro? f)
       (eval (apply-macro f exp) env))
      ((syntax-primitive? f)
       ((prim->eval f) exp env))
      (else
       (apply-proc
        f
        (eval* (app->args exp) env))))))
```

# Initial environment (I)

```
[lambda => (syntax-primitive ...),  
  set!   => (syntax-primitive ...),  
  letrec => (syntax-primitive ...),  
  let    => (syntax-primitive ...),  
  ...]
```

# Initial environment (II)

```
[lambda => (syntax-primitive ...),  
set!    => (syntax-primitive ...),  
letrec  => (macro ...),  
let     => (macro ...),  
...]
```

**Questions?**